(FW)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

DAY et al.

Atty. Ref.: ARC-124-1140

Serial No. 10/562,117

TC/A.U.: 3765

Filed: December 23, 2005

Examiner: Unknown

For: SAFETY HELMETS

* * * * * * * * * *

February 8, 2007

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Office of Initial Patent Examinations Filing Receipt Corrections

Sir:

REQUEST FOR CORRECTION OF FILING RECEIPT

Enclosed is a copy of the Filing Receipt for the above-identified application which incorrectly lists the inventors as Jonathan A. Price, Sarah E. Day and Andrew D. Foreman. It should read:

Jonathan A. Price, Farnborough, United Kingdom

Sarah E. Day, Farnborough, United Kingdom

Andrew D. Foreman, Farnborough, United Kingdom

Adrian Huggins, Farnborough, United Kingdom

See the attached copies of the executed declarations as filed on December 23, 2005 as well as the postcard receipt indicating "8" declaration pages.

The correction is shown in red on the attached copy of the filing receipt. Please note your records accordingly. Correction of same is respectfully requested.

DAY et al. Serial_lNo. 10/562,117

Respectfully submitted,

NIXON & VANDERHYE P.C.

By:

Arthur R. Crawford Reg. No. 25,327

ARC:eaw

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1430 Alexandria, Vignia 22313-1450 www.uspto.gov

FILING OR 371 **DRAWINGS TOT CLMS** IND CLMS APPL NO. ART UNIT FIL FEE REC'D ATTY.DOCKET NO (c) DATE 2 21 950 10/562,117 12/23/2005 3765 124--1140

CONFIRMATION NO. 1233

23117 NIXON & VANDERHYE, PC 901 NORTH GLEBE ROAD, 11TH FLOOR ARLINGTON, VA 22203

FILING RECEIPT

*OC0000000221342251

Date Mailed: 01/25/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jonathan A. Price, Farnborough, UNITED KINGDOM; Sarah E. Day, Farnborough, UNITED KINGDOM;

Andrew D. Foreman, Famborough, UNITED KINGDOM;

Huggins, FARN borough. United Kingdom

Power of Attorney: The patent practitioners associated with Customer Number 23117.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/GB04/02737 06/25/2004

Foreign Applications

UNITED KINGDOM 0314934.1 06/26/2003

If Required, Foreign Filing License Granted: 01/24/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/562,117**

Projected Publication Date: 05/03/2007

Non-Publication Request: No

Early Publication Request: No

Title

Safety helmets

Preliminary Class

002

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Nixon & vanderhye P.C. (10/99) (Domestic Non-Assigned/Foreign) Page 1

RULE 63 (37 C.F.R. 1.63) INVENTORS DECLARATION FOR PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, mailing address and citizenship are as stated below next to my name, and I ballieve I am the original, first and sole inventor (If only one name is listed below) or an original, first and joint inventor (If plural names, are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: Safety Heimets

	ecification of which (check	applicable box(s)).				
[]	is attached hereto		•			
[]	was filed on		as U.S. Application Se	rial No.		(Alty Dki No IP/P /USW
[x]	was filed as PCT interna	tional application No.	GB2004/002737	on	25 June 2004	4
and (if	applicable to U.S. or PCT	application) was amended of	on			
I hereb	y state that I have reviewe	ed and understand the conte	nts of the above identific	d specification, includ	ing the claims	s, as amended by any
amend	Iment referred to above 1	acknowledge the duty to dis	close to the Patent Office	a all information know	n to me to be	material to natentability as
Deline	7 In 37 C.F.R. 1.56. There	by claim foreign priority beni	efits under 35 U.S.C. 11	9/365 of any foreign a	oplication(6) f	or patent or inventor's certific
which	priority is claimed or if no	priority is claimed, before the	agon for patent or inven	tor's certificate paving	a filing date t	petore that of the application
	Foreign Application(s)	priority is claimed, before th	s unite date of this abbit	ation.		
,,	Application Numb	er	Country			Day/Month/Year Filed
	0314934.1		GB			26 June 2003
						20 00112 2003
I hereb	ly claim the benefit under :	35 U.S.C. §119(e) of any Un	ited States provisional a	pplication(s) listed bei	ow.	
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Lhereb	v declare that all statemen	nis made berein of my own k	nowledge are true and t	hat all statements may	do an indexno	tion and belief are believed t
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imprisc	anment, or both, under Sec	tion 1001 of Title 18 of the t	Jinited States Code and	that such willful false :	statemente m	av jennardize the validity of the
applica	tion or any patent issued t	hereon. And on behalf of th	e owner(s) hereof, i her	by appoint Nixon & V	anderhye P.C	telephone number 703-81
						individually and collectively
owner	s/owners' afterneys to pros	secute this application and to	transact all business in	the Patent and Trade	mark Office o	connected therewith and with
resulta	ig patent. I siso authorize	Nixon & Vanderhye to add o	or delete attornevs from	that Customer Numbe	r and to act a	and rely solely on instructions
directly	communicated from the p	erson, assignee, attorney, fi	rm, or other organization	sending instructions	to Nixon & Va	anderhye on behalf of the
owner(3).					•
1.	Inventor's Signature.				Date:	
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	Inventor:	Jonathan	A	Price		GB
	Residence: (city)	(first) Farnborough, Hampshire	MI	(last)		(citizenship)
	Mailing Address:	QinetiQ Limited, Cody Te		(state/country) GB		
	(Zip Code)	GU14 0LX	Chilology Park, Ively Ro	a0		
	(Lip code)	3014102				
.2.	inventor's Signature:	55	- 11	_	Date:	1/11/05
-	inventor:	Sarah		Day	Uate	
		(first)	MI	(last)		(clüzenship)
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	Mailing Address:	OinetiO Limited, Cody Te	chnology Park, Ively Ro	ad		
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Serial No. Page 2

Nixon & Vanderhye P.C. (10/99) (Domestic Non-Assigned/Foreign)

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	inventor's Signature:			A No.	Date.	
	inventor.	Andrew	D	Foreman		
		(first)	M	(last)		(citizenship)
	Residence (city)	Famborough, Hampshire		(state/country) GB		
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	inventor:	Adrian		Huggins		
	Residence: (city)	(first) Farnborough, Hampshire	MI	(last)		(citizenship)
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RULE 63 (37 C.F.R. 1.63) INVENTORS DECLARATION FOR PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, mailing address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if piural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: Safety Helmets

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	cification of which (check a	pplicable box(s)):						
Li	is attached hereto				,	ton Distor		# 101A
[]	was filed on		as U.S. Application Serial No		((Atty. Dkt. No	IP/P	105W
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amenda defined listed be which p	nent referred to above. I amin 37 C.F.R. 1.56. I hereby and have also identified	cknowledge the duty to y claim foreign priority b id below any foreign ap	ntents of the above identified spec disclose to the Patent Office all inf enefits under 35 U.S.C. 119/365 o plication for patent or inventor's ce the filing date of this application:	ormation kno f any foreign	own to me to be application(s) for	material to pa or patent or in	itentat ventor	olity as 's certifica
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Nixon & Vanderhye P.C. (10/99) **FEB 0 8 2007** Domestic Non-Assigned/Foreign) Serial No. Page 2 3. Inventor's Signature: Inventor: Foreman Andrew (last) (first) (citizenship) ΜI (state/country) GB Residence: (city) Farnborough, Hampshire QinetiQ Limited, Cody Technology Park, Ively Road Mailing Address: GU14 OLX (Zip Code) Date: Inventor's Signature: 4. Huggins Adrian Inventor: (citizenship) (last) (first) (state/country) _GB Residence: (city) Farnborough, Hampshire QinetiQ Limited, Cody Technology Park, Ively Road Mailing Address: (Zip Code) GU14 0LX Date: Inventor's Signature: 5. Inventor: (citizenship) (lasl) (first) (state/country) Residence: (city) Mailing Address: (Zip Code) Date: Inventor's Signature: 6. inventor: (citizenship) (first) (state/country) __ Residence: (city) Mailing Address: (Zip Code) Inventor's Signature: Date: 7. . Inventor: (citizenship) (first) Residence: (city) (state/country) Mailing Address: (Zip Code) Inventor's Signature: ₿. inventor: MI (last) (citizenship) (first) (state/country) Residence: (city) Mailing Address: (Zip Code) 9. Inventor's Signature: inventor: (iast) (citizenship) (first) (state/country) Residence: (city) Mailing Address: (Zip Code) Date: 10. Inventor's Signature: Inventor: (citizenship) (last) (first) (state/country) Residence: (city) Mailing Address: (Zip Code) Date: Inventor's Signature: 11.

(Zip Code)

FOR ADDITIONAL INVENTORS, check box [] and attach sheet with same information and signature and date for each.

MI

(state/country)

(state/country)

(last)

Date:

Inventor:

inventor:

Residence: (city) Mailing Address:

12.

Residence: (city)
Mailing Address:

Inventor's Signature:

(Zip Code)

(first)

(first)

(citizenship)

(citizenship)



Nixon & Vanderhye P.C. (10/99) ogfëstic Non-Assigned/Foreign) Page 1

RULE 63 (37 C.F.R. 1.63) INVENTORS DECLARATION FOR PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, mailing address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: Safety Heimets

	cification of which (check a	applicable box(s)):				
	was filed on		as U.S. Application Se	erial No	(Any	. Dkt. No IP/P /USW
11	Web with the		as wis. Application of	5/10/ 11G.	. (,)
[x]	was filed as PCT Internation	onal application No.	GB2004/002737	on · 2	25 June 2004	
and (if a	applicable to U.S. or PCT a	pplication) was amended	no t			
amenda defined fisted b which p	y state that I have reviewed ment referred to above. I a I in 37 C.F.R. 1.56. I hereb elow and have also identific priority is claimed or, if no p Foreign Application(s): Application Number 0314934.1	cknowledge the duty to only to only the control of	disclose to the Patent Officenefits under 35 U.S.C. 11 bilication for patent or inver-	ce all information knowr 19/365 of any foreign ap ntor's certificate having a	n to me to be mat plication(s) for pa a filing date befor	erial to patentability as atent or inventor's certificat
	0314934.1					26 June 2003
I hereb	y claim the benefit under 3 Application Numbe		United States provisional : Date/Month/Year F		ow.	
l hereb	y claim the benefit under 3	5 U.S.C. 120/365 of all p	rior United States and PC	T international applicati	ons listed above	or below:
	H.S./PCT Application(s): ation Serial No. GB2004/002737	· _	Day/Month/Year F 25 June 2004	iled		Status: patented pending, abandoned Pending
be true impriso applica 4000 (I owner'	y declare that all statemen; and further that these statement, or both, under Section or any patent issued the whom all communications/owners' attorneys to prosing patent. I also authorize a communicated from the piss).	tements were made with tion 1001 of Title 18 of the nereon. And on behalf or a reto be directed) and ecute this application and Nixon & Vandertye to ac	the knowledge that willful to United States Code and the owner(s) hereof, I he the attorneys of: Cusid to transact all business id or delete attorneys from	false statements and the state of that such willful false streety appoint Nixon & Vitomer Number in the Patent and Trade on that Customer Number Numb	ne like so made a statements may ju anderhye P.C., to r 23117, ind mark Office conn r, and to act and	are punishable by fine or epopardize the validity of the elephone number 703-816 ividually and collectively ected therewith and with the rely solely on instructions.
1.	Inventor's Signature:	-	•		Date:	
	Inventor: Residence: (city) Mailing Address:		A MI nire Technology Park, Ively R	Price (last) (state/country) GB		· GB (citizenship)
	(Zip Code)	GU14 0LX				
2.	Inventor's Signature:	•			Date:	·
	inventor:	Sarah	E	Day	 · , 	/ ***
	Residence: (city)	(first) Farnborough, Hampsi	MI nice	(last) (state/country) GB		(citizenship)
	Mailing Address:		Technology Park, Ively F			
	(Zip Code)	GU14 0LX				
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Nixon & Vanderhye P.C. (10/99) (Domestic Non-Assigned/Foreign) Serial No. Page 2 Inventor's Signature: 3. Foreman Andrew inventor: (citizenship) ΜI (last) (first) Farnborough, Hampshire (state/country) GB Residence: (city) QinetiQ Limited, Cody Technology Park, Ively Road Mailing Address: GU14 OLX (Zip Code) Date: Inventor's Signature: 4. Huggins inventor: Adrian (last) (citizenship) Mi (first) (state/country) __GB Farnborough, Hampshire Residence: (city) QineliQ Limited, Cody Technology Park, Ively Road Mailing Address: (Zip Code) GU14 OLX Date: Inventor's Signature: 5. Inventor: (citizenship) MI (last) (first) (state/country) Residence: (city) Mailing Address: (Zip Code) Date: Inventor's Signature: 6. inventor: (citizenship) (first) (state/country) Residence: (city) Mailing Address: (Zip Code) Date: 7. Inventor's Signature: inventor: (citizenship) (first) (state/country) Residence: (city) Mailing Address: (Zip Code) Date: Inventor's Signature: 8. inventor: (citizenship) ΜI (last) (first) (state/country) Residence: (city) Mailing Address: (Zip Code)

Date: Inventor's Signature: 9. Inventor: (citizenship) ΜI (last) (first) (state/country) Residence: (city) Mailing Address: (Zip Code) Date: Inventor's Signature: 10. inventor: (last) (citizenship) М (first) (state/country) Residence: (city) Mailing Address: (Zip Code) Date: Inventor's Signature: 11. inventor: (citizenship) ΜI (last) (first) (state/country) Residence: (city) Mailing Address: (Zip Code) Date: Inventor's Signature: 12. Inventor: (citizenship) (last) М (first) (state/country) Residence: (city) Mailing Address: (Zip Code) FOR ADDITIONAL INVENTORS, check box [] and attach sheet with same information and signature and date for each.





Nixon & Vanderhye P.C. (10/99) (Domestic Non-Assigned/Foreign) Page 1

INVENTIBLE DECLARATION FOR PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, mailing address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if dnly one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: Safety Helmets

the spec	ification of which (check ap	il cable box(s)):			
$\{1, \dots, n\}$	is attached hereto				
[]	was filed on	as U.S. Application Serial No.	(Atty. Okt. No	IP/P /USW
F == 1	was filed as PCT Internation] all application No. GB2004/002737 on 25 、	June 2004	,	. '
			, d. 10 200 .		
and (if a	pplicable to U.S. or PCT ap	ilization) was amended on			
amendined defined listed be which pro	nent referred to above. I ac in 37 C.F.R. 1.56. I hereby slow and have also identified	nd understand the contents of the above identified specification, including to the patent Office all information known to the patent Office all information known to the patent of the patent of the patent of the patent of the patent or any foreign application for patent or inventor's certificate having a filtry is claimed, before the filing date of this application: Country	me to be ation(s) for	material to pa or patent or in pefore that of t	itentability as ventor's certifica
	0314934.1	GB		•	26 June 2003
(hereby	claim the benefit under 35 Application Number	J.S.C. §119(e) of any United States provisional application(s) listed below. Date/Month/Year Filed			
l hereby	claim the benefit under 35	S.C. 120/365 of all prior United States and PCT international applications	listed ab	ove or below:	
Brior II	.SJPCT Application(s):			State	us: patented
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be true: impriso applicat 4000 (to owner's resulting	and further that these state nment, or both, under Secti- tion or any patent issued the o whom all communications s/owners' attorneys to prose g patent. I also authorize N communicated from the pe	made herein of my own knowledge are true and that all statements made of ments were made with the knowledge that willful false statements and the line of Title 18 of the United States Code and that such willful false statement. And on behalf of the owner(s) hereof, I hereby appoint Nixon & Vance to be directed) and the attorneys of: Customer Number and this application and to transact all business in the Patent and Tradematon & Vanderhye to add or delete attorneys from that Customer Number, a son, assignee, attorney, firm, or other organization sending instructions to the content of	ike so ma ements m derhye P.0 23117 irk Office o and to act Nixon & V	de are punish ay jeopardize C., telephone, individually a connected the and rely solel anderhye on i	able by fine or the validity of th number 703-816 and collectively rewith and with y on instructions behalf of the
1.	Inventor's Signature:	V.A. frice	.Date:	02/11	/2005.
	Inventor:	Jonathan A Price (first) MI (last)		(c	GB itizenship)
	Residence: (city)	Farnborough, Hampshire (state/country) GB			
	Mailing Address:	QinetiQ Limited, Cody Technology Park, Ively Road			
	(Zip Code)	GU14 0LX			
2	loventorie Signatura:		Date:		•
2.	Inventor's Signature: Inventor:	Sarah E Day			
	MINCHIOI.	(first) MI (last)		(c	itizenship)
	Residence: (city)	Farnborough, Hampshire (state/country) GB			
	Mailing Address:	QinetiQ Limited, Cody Technology Park, Ively Road			
	(Zip Code)	GU14 OLX			
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Nixon & Vanderhye P.C. (10/99) (Domestic Non-Assigned/Foreign) Serial No. Page 2 Inventor's Signature: 3. Foreman Inventor: Andrew D Mi (last) (citizenship) (first) -Farnborough, Hampshire (state/country) GB Residence: (city) QinetiQ Limited, Cody Technology Park, Ively Road Mailing Address: (Zip Code) GU14 0LX Date: Inventor's Signature: 4. Huggins inventor: Adrian (citizenship) Mi (first) (last) Residence: (city) Farnborough, Hampshire (state/country) GB QinetiQ Limited, Cody Technology Park, Ively Road Mailing Address: (Zip Code) GU14 0LX Date: 5. Inventor's Signature: Inventor: (citizenship) (iast) (first) (state/country) Residence: (city) Mailing Address: (Zip Code) Inventor's Signature: 6. Inventor: (last) (citizenship) Residence: (city) (state/country) Mailing Address: (Zip Code) 7. Inventor's Signature: Inventor: (citizenship) (first) ΜI (last) Residence: (city) (state/country) Mailing Address: (Zip Code) Date: Inventor's Signature: 8. Inventor: МІ (citizenship) (first) (last) (state/country) Residence: (city) Mailing Address: (Zip Code) Date: 9. Inventor's Signature: Inventor: MI (last) (citizenship) (first) (state/country) Residence: (city) Mailing Address: (Zip Code) Inventor's Signature: Date: 10. inventor: (citizenship) (first) (state/country) Residence: (city) Mailing Address: (Zip Code) Inventor's Signature: Date: 11. inventor: (citizenship) (first) (state/country) Residence: (city) Mailing Address: (Zip Code) Date: Inventor's Signature: 12.

Inventor:

Residence: (city) Mailing Address:

(Zip Code)

(first)

(citizenship)

(last)

(state/country)

FOR ADDITIONAL INVENTORS, check box [] and attach sheet with same information and signature and date for each.



US National Phase of PCT/GB2004/0027347 10 15								
		O#/M#: 124-1	140					
Serial No.:	unknown	Atty: Arthur F	٦. Crav	vford				
Inventor/s:	DAY et al.	Date: Decem	ber 23,	3005				
Title: SAF	ETY HELMETS							
	Address Indication Fo	rm						
\boxtimes	Amendment (Prelimina	ry)						
:31	Pages Specification, Cla	aims & Abstrac	et					
42	Claims; 2 Sheets of D	rawings (Figs	. 1-4)					
	Declaration (8 Pages)	· · .						
	Assignment (9 Pages) I	ncluding Cove	r					
. 🔲	Priority Document(s)							
☐ Credit Card Payment Form attached (\$990.00)								
Other: Information Disclosure Statement and PTO/SB/08a								
w/refs. 👵	w/refs.							
IAP4 Rec'd PCT/PTO 23 DEC 2005								